

<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO. P01-3673</b> Client/Matter No. 68854.0188	<b>SERIAL NO.</b>
	<b>APPLICANT</b> Stephen J. Sicola et al.	
	<b>FILING DATE</b> Herewith	<b>GROUP</b> 2182

J1000 U.S. PTO  
 10/038231  
 10/19/01

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NP	6,188,973 B1	2/13/01	Martinez et al.	702	188	3/29/99
↓	6,237,112 B1	5/22/01	Yoo et al.	714	30	6/26/98
	6,243,790 B1	6/5/01	Yorimitsu	711	112	3/25/97
	5,835,700	11/10/98	Carbonneau et al.	395	183.2	8/16/96
↓	6,266,721 B1	7/24/01	Sheikh et al.	710	100	10/1/97

### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLISHED DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	<i>L. Dileto Patr.</i>	DATE CONSIDERED	1/4/04
----------	------------------------	-----------------	--------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08A (10/01)  
(Substitute for form 1449A/PTO)

ATTY. DOCKET NO. P01-3673  
Client/Matter No. 68854.0188

APPLICATION NO.  
10/038,231

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

FIRST NAMED INVENTOR

Stephen J. Sicola, Bruce A. Sardeson, Dennis Spicher, Richard Bruce Roberts, Bill Pagano, William K. Miller, Clay T. Wade, and Mark Shepp

FILING DATE  
October 19, 2001

ART UNIT  
~~2181~~ 2182

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No. No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
NP		US-6,073,209	06/06/00	Bergsten	
		US-6,282,610 B1	08/28/01	Bergsten	
		US-6,260,120 B1	07/10/01	Blumenau et al.	
		US-6,038,689	03/14/00	Schmidt et al.	
		US-5,987,622	11/16/99	Lo Verso et al.	
		US-5,822,777	10/13/98	Leshem et al.	
		US-5,815,649	09/29/98	Utter et al.	
		US-5,513,314	04/30/96	Kandasamy et al.	
		5,109,318	5/7/90	Funari et al.	
		5,815,371	9/26/96	Jeffries et al.	
		5,184,281	3/3/92	Samarov et al.	
		6,278,609	6/27/97	Suzuki et al.	
		6,282,096	4/28/00	Lo et al.	
		6,282,094	4/10/00	Lo et al.	
		US-5,996,089	11/30/99	Mann et al.	
		US-5,832,222	11/03/98	Dziadosz et al.	
		US-6,148,414	11/14/00	Brown et al.	

RECEIVED  
OCT 22 2002  
Technology Center 2100

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Doc Cntry code - No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION
						YES NO

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published
NP		SMART STORAGE INC., "SmartStor Infinet™: Virtual Storage for Today's E-economy," September 2000. ✓
		COMPAQ COMPUTER CORPORATION, "The Compaq Enterprise Network Storage Architecture: An Overview," May 2000. ✓
		COMPAQ COMPUTER CORPORATION, "Compaq Storage Works" Data Replication Manager HSG80 ACS V8.5P Operations Guide, March 2000.

EXAMINER SIGNATURE

*Robert Pat...*

DATE CONSIDERED

01-04-04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.